

# **A Survey of Recent Developments of TCP**

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## **An overview of this session:**

- This talk: A Survey of Recent Developments of TCP.
  - And an introduction to DCP, a newly-proposed transport protocol.
- Neil Spring: Robust ECN Signaling with Nonces.
  - Adding ECN Nonces to TCP.
- Srinu Seshan: The Congestion Manager.
  - Sharing congestion control state below the transport layer.
- Randall Stewart: An Overview of SCTP.
  - A reliable transport protocol accommodating multiple streams.

## **An outline of this talk:**

### **Current and future developments for TCP:**

- The Limited Transmit mechanism in TCP.
- Using D-SACK to detect unnecessary Fast Retransmits, or unnecessary Retransmit Timeouts.
- The addition of ECN (Explicit Congestion Notification) to TCP.
- Corruption Notification?
- Congestion control mechanisms with very large congestion windows?

### **Other developments:**

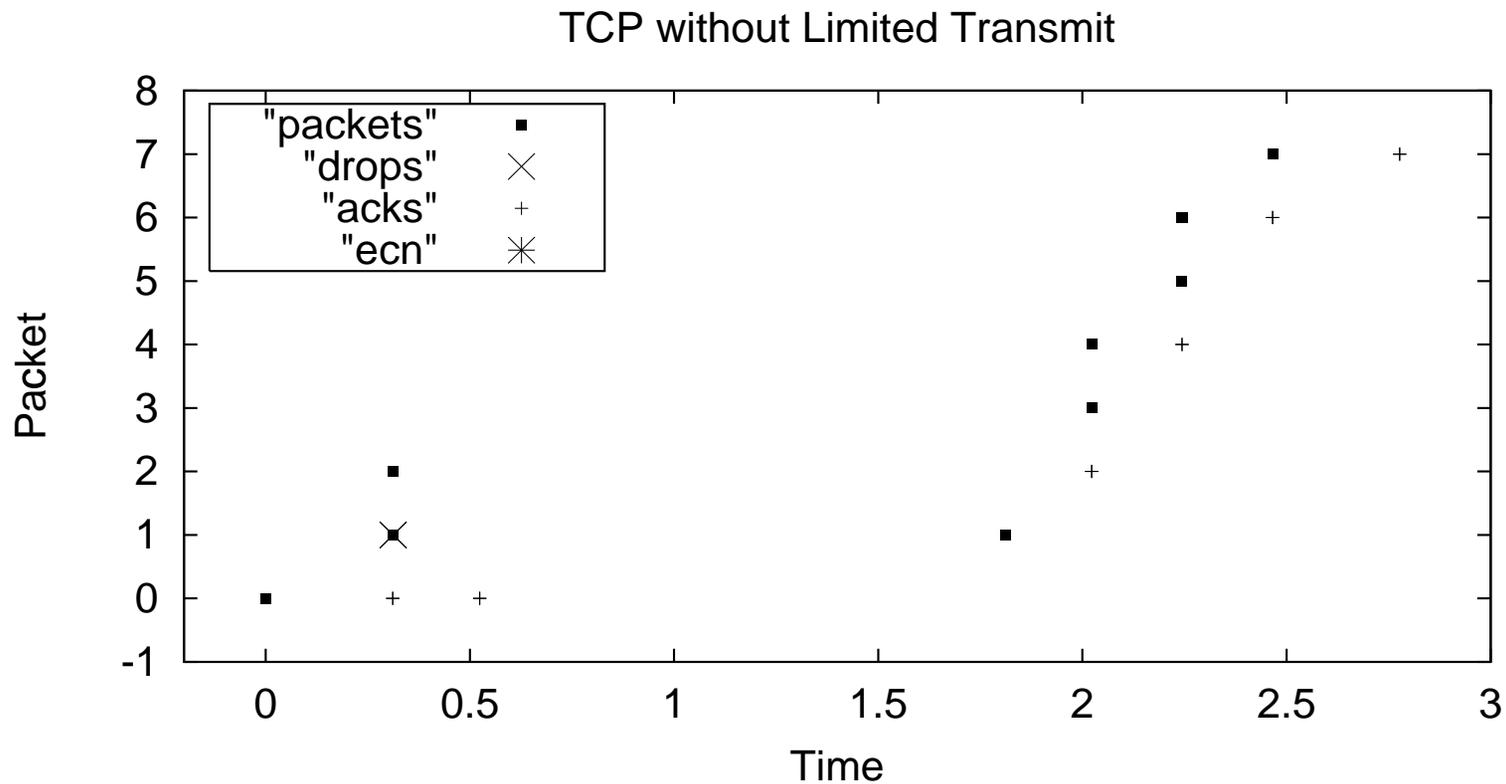
- DCP: Datagram Control Protocol.
  - A proposed unreliable transport protocol.

## Themes:

- Bringing TCP closer to a model of pure AIMD (Additive Increase, Multiplicative Decrease) and exponential backoff of the retransmit timer.
- Making the indication of congestion more explicit.
- Modifying TCP's AIMD mechanisms at very high congestion windows?
- Other transport protocols for other purposes:
  - Other than reliable, in-order delivery.
  - Other than AIMD congestion control.
  - (For this talk, we only consider unicast transport protocols.)

## The Limited Transmit mechanism in TCP:

- The problem: unnecessary retransmit timeouts after a loss.

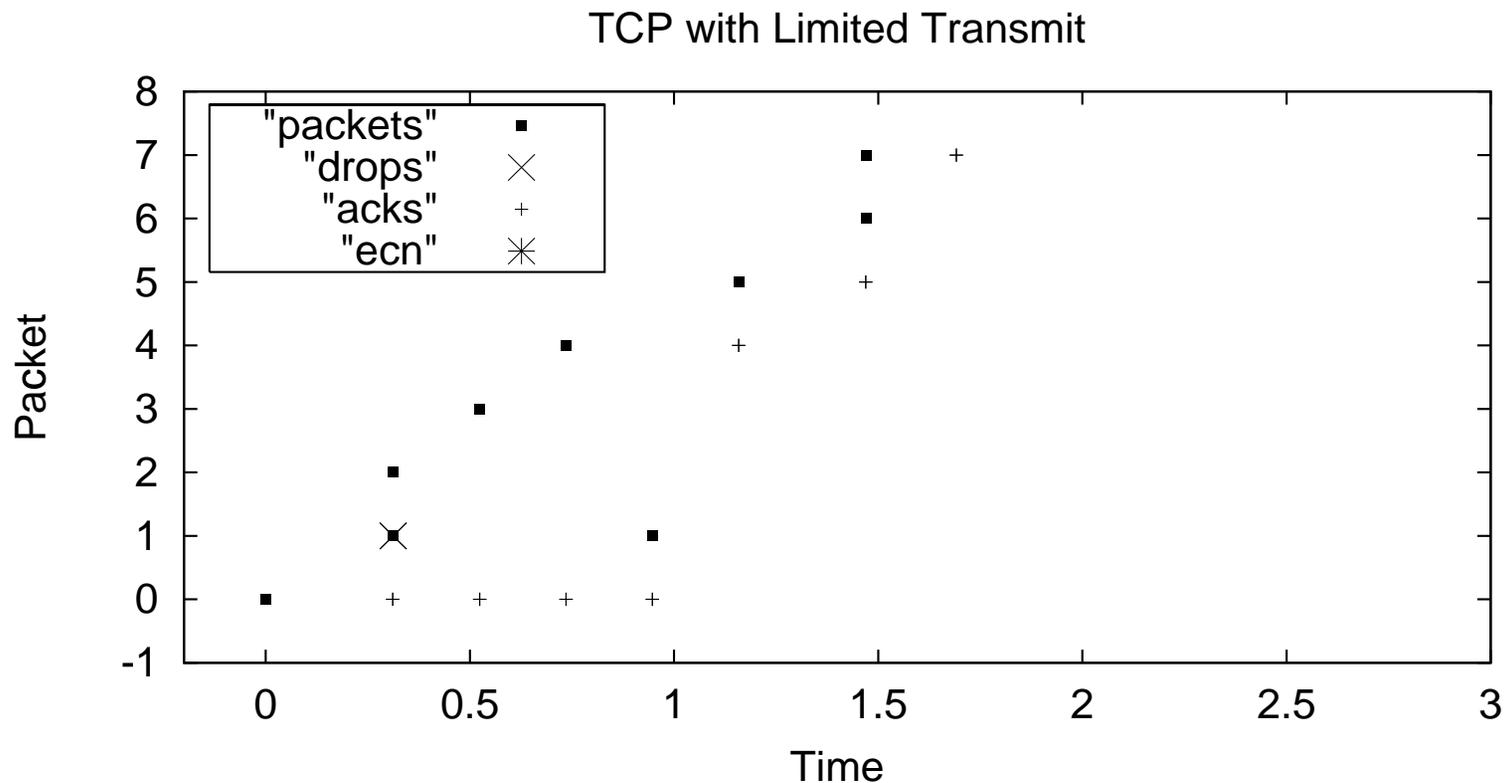


- There is no response to the duplicate acknowledgement (dup ack) at time 0.5.

## The Limited Transmit mechanism in TCP:

- The solution: Limited Transmit, RFC 3042.

Send a new packet after the first and second dup acks.



- A Fast Retransmit can be more effective than a Retransmit Timeout.
  - Limited Transmit is useful for TCPs with small congestion windows.

## **DSACK: Detecting unnecessary Fast Retransmits or Retransmit Timeouts**

- The problem: TCP is not robust to reordered or delayed packets.
  - More than three reordered packets are interpreted as a loss.
  - A long delay is interpreted as a loss.
  - After a loss, a packet is retransmitted, and the window is halved.
- The solution: make TCP robust to reordered or delayed packets.
  - Part 1: Detect that the packet was delayed or reordered, not lost.
  - Part 2: “Undo” the unnecessary halving of the window.
  - Part 3: Modify the Dup Ack Threshold or Timeout value as appropriate.

## **Part 1: Detecting that the packet was not lost.**

- Use the D-SACK extension to SACK TCP for the receiver to inform the sender of all duplicate packets received.
  - If the sender retransmitted a packet, and the receiver received two copies of that packet, the sender can infer that the original packet was not lost. [RFC 2883], [Blanton01]
- Or, use timestamps.
- Or, define a new “RTX” bit in TCP packet headers to indicate retransmitted packets, and to report the receipt of retransmitted packets. [Ludwig01]

## **Part 2: Undoing the unnecessary halving of the congestion window.**

- Set the slow start threshold (ssthresh) to the window's value before the halving.
- Slow-start back up to the old window.

### **Part 3: Modify the Dup Ack Threshold or Timeout value as appropriate.**

Goal: Most of the Fast Retransmits and Retransmit Timeouts should be for legitimate lost packets.

- Without waiting unnecessarily long to retransmit a lost packet.
- Adjust the Dup Ack Threshold after each Fast Retransmit. [Blanton01a]
  - Or, keep track of the history of reordering in the connection, and use this to determine the Dup Ack Threshold. [Zhang, Karp, Floyd]
- Similar approaches could be used for adjusting the algorithm for setting Retransmit Timeout values.
- This is still under investigation. [Blanton01a]

## The Addition of ECN to IP and to TCP:

- The problem:

Packet losses are an expensive form of congestion notification,

- For the receiver (the packet is not received);
- For the sender (uncertainties in inferring the congestion signal).

- The solution: Explicit Corruption Notification (ECN).

- The router can mark a packet as an indication of congestion.
- RFC 3168, Proposed Standard.

- Issue: Why trust the receiver to report the congestion indication?

- Use the ECN nonce, described in the next talk.

## Future Work: Corruption Notification

- The problem:

TCP assumes that losses are from congestion, not corruption.

- Even with ECN, packets can be lost from buffer overflow.

- Losses can't be assumed to be corruption instead of congestion.

- The solution: Some form of Corruption Notification?

“The packet with this header was lost in the network due to corruption, not congestion.”

- Issues:

- Only the link-level sender knows for sure the packet header of the corrupted packet.

- The Corruption Notification could arrive at the TCP sender after the packet was already assumed lost.

- The Corruption Notification message could be spoofed.

## Future Work: Modified congestion control for large-window TCP

- The problem:

For high windows, TCP requires a *very* low packet drop rate.

To fill a 10 Gbps pipe, a TCP with 1500-byte packets requires:

- A congestion window of 83,333 packets.  
(Assuming a 100-ms round-trip time.)
- At most one in 5,000,000,000 packets lost.

- The solution:

- Use parallel TCP connections;
- Or, modify TCP's increase and decrease parameters for high windows.

- This is research in progress. [Ratnasamy, Floyd, Shenker]

For high congestion windows, TCP's increase and decrease parameters could depend on the congestion window:

- Increasing by more than one packet per round-trip time;
- Decreasing less than halving the congestion window.

## DCP: Datagram Control Protocol

- DCP: a congestion-controlled, unreliable transport protocol.
- Without in-order, reliable delivery.
- The application can specify either AIMD-based (like TCP) or equation-based (TFRC) congestion control.
- Designed to be as minimal as possible, for applications that now use UDP without end-to-end congestion control.
- This is in the initial stages [Kohler, Handley, Floyd, Padhye].

## **Why add DCP instead of using an unreliable version of SCTP?**

- For some applications, the AIMD congestion control used by TCP and SCTP is not appropriate.
- For some applications, unreliable versions of SCTP would involve more overhead that is needed (compared to DCP).

## **Why add DCP when applications could use the Congestion Manager instead?**

- The initial versions of the Congestion Manager (CM) require applications to have their own end-to-end feedback about packet drops and marks.
- We want to allow applications to choose between AIMD and TFRC congestion control.
- We don't want the use of end-to-end congestion control for unreliable applications to be contingent on the deployment of CM.

## References:

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- [ECN] Ramakrishnan, K.K., Floyd, S., and Black, D., The Addition of Explicit Congestion Notification (ECN) to IP., RFC 3168, Proposed Standard, September 2001.
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[RFC 3042] Allman, M., Balakrishnan, H., and Floyd, S., Enhancing TCP's Loss Recovery Using Limited Transmit. RFC 3042, Proposed Standard, January 2001.

Papers by Floyd are available from: <http://www.aciri.org/floyd/>